

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the U.S. Application of

Daniel BREEN *et al.*Group Art Unit: **3624**U.S. Serial No.: **10/820,876**Examiner: **SUBRAMANIAN, Narayanswamy**Filed: **April 9, 2004**For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE
SECURITY WITH A CALL SPREAD**

U.S. Patent and Trademark Office
Customer Window, Mail Stop Amendment
Randolph Building
Alexandria, VA 22314

RESPONSE TO NON-FINAL OFFICE ACTION

Sir:

In response to the non-final Office Action mailed October 11, 2005 ("the Office Action"), the undersigned representative respectfully requests reconsideration of the application in light of the following remarks.

Remarks begin on page 2 of this paper.